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FORM PTO-1390 DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

ATTORNEY'S DOCKET NO. 4050.000900 U.S. APPLICATION NO. (If known, see37 CFR 1.5)

CONCERNING A FILING UNDER 35 U.S.C. 371 INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLA PCT/AU99/00968 November 5, 1999 November 6, 1998 TITLE OF INVENTION Regulation of Nitric Oxide Synthase Activity APPLICANT(S) FOR DO/EO/US David Ian Stapleton, Zhiping Chen, Belinda Joyce Michell, Bruce Ernest Kemp and Kenneth Ian Mitchelhill Applicants herewith submit to the United States Designated/Elected Office (DO/EO/US) the following items and other information: ∑ This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1). A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date. A copy of the International Application as filed (35 U.S.C. 371(c)(2)). page; 29 pages of text, Figure 1A-9 on 9 sheets, and 1 page of Search Report. As been transmitted by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). A translation of the International Application into English (35 U.S.C. 371(c)(2)). 7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)). are transmitted herewith (required only if not transmitted by the International Bureau). have been transmitted by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 16 below concern document(s) or information included: 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included and the fee in incorporated within the attached check.

1.	П	An Information Disclos	sure Statement under	37 CFR 1 97 and

- 13. A FIRST preliminary amendment (with Exhibits A, B and C); please calculate the filing fee based upon the claims in this amendment.
 - A SECOND or SUBSEQUENT preliminary amendment.
- 14. A substitute specification.
- 15. Power of attorney and/or address letter.
- 16. Other items or information: 1. PCT Request; 2. International Search Report; 3. The references (3) cited in International Search Report; 4. PCT Demand; 5. International Preliminary Examination Report (IPER); 6. Notification Concerning Submission of Transmittal of Priority Document; and 7. Postcard

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NUMBER	EL 522 496 320 US	
DATE OF DE	POSIT: April 19, 2001	
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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In re Application of: Stapleton *et al*.

Serial No.: Unknown

PCT/AU99/00968

Filed:

For:

April 19, 2001

Intl. Filing date: Priority date:

November 05, 1999 November 06, 1998

REGULATION OF NITRIC OXIDE

SYNTHASE ACTIVITY

Group Art Unit: Unknown

Examiner: Unknown

Atty. Dkt.: 4050.000900

EXPRESS MAILING LABEL 37 C.F.R. § 1.10

I hereby certify that this paper is being deposited with the U.S. Postal Service "EXPRESS MAIL POST OFFICE TO ADDRESSEE" (Number EL 522 496 320 US) service on the date indicated and is addressed to Assistant Commissioner for Patents, Washington, D.C. 20231, on the date below:

April 19, 2001

Date

Jan Fulton

PRELIMINARY AMENDMENT

Assistant Commissioner for Patents

BOX PCT

Washington, D.C. 20231

Sir:

The present document is a Preliminary Amendment filed in conjunction with the nationalization of International Patent Application PCT/AU99/00968. After entry into the U.S. national stage, and assurance of a U.S. filing date, entry of the following amendments is respectfully requested. Any omitted fees are authorized to be deducted from Williams, Morgan & Amerson Deposit Account No. 50-0786/4050.000900.

AMENDMENT

In the Specification:

Prior to page 1, where the text of the application begins, please delete the double-sided cover page from the PCT stage if necessary.

At page 1, after the title, in the current single-paragraph that constitutes the entire Section of the Application pertaining to the field of the invention and cross-reference to related applications, please delete the existing section and replace such deleted section with the following, two-paragraph replacement section:

The present application is a nationalization of International Patent Application PCT/AU99/00968, filed November 05, 1999, which claims priority to Australian Patent Application PP 6976, filed November 06, 1998.

This invention relates to the regulation of the activity of the enzyme nitric oxide synthase, and in particular to regulation of activity of endothelial and neuronal nitric oxide synthases. We have found that the phosphorylation of endothelial and neuronal nitric oxide synthases by several protein kinases, including protein kinase C and the AMP-activated protein kinase, regulates their activity.

After page 29, please start another page (30), and insert a section containing the following text of the Abstract, based upon the first cover page from the PCT application: --

ABSTRACT

This invention relates to the regulation of the activity of the enzyme nitric oxide synthase, particularly the regulation of activity of endothelial nitric oxide synthase (eNOS) and neuronal nitric oxide synthase (nNOS and nNOS μ). The invention provides a method of identifying modulators of AMPK-mediated activation of eNOS, comprising testing putative modulators for

their ability to increase or decrease phosphorylation of eNOS depending on the calmodulin and calcium ion concentrations. The invention also provides a method of identifying modulators of AMPK-mediated inhibition of eNOS, comprising testing a putative modulator for its ability to decrease or increase AMPK-mediated phosphorylation of eNOS in the presence of limiting calcium ions. Preferably, specific phosphorylation of threonine 495 is assessed. The invention further provides a method of identifying modulators that either promote or inhibit phosphorylation of nNOS and nNOSµ at Ser-1417. Compounds that activate the AMP-activated protein kinase are expected to be useful in the treatment of ischemic heart disease by promoting both glucose and fatty acid metabolism, as well as by increasing NOS activity to improve nutrient and oxygen supply to the myocytes and to reduce mechanical activity. These compounds also have utility in the treatment of pulmonary hypertension and in obstructive airways disease.

At the appropriate pages, prior to the text on each page, please delete the header that reads "WO 00/28076 PCT/AU99/00968" if necessary.

In the Claims:

After entry into the U.S. national stage, and assurance of a U.S. filing date, please revise the claims from the enclosed PCT application so that the rewritten claims read as follows:

- 5. (Amended) A method according to Claim 1, in which one or more of the following activities is additionally assessed:
 - (a) Effect on smooth muscle contraction:
 - (b) Effect on inotropic activity of the heart;
 - (b) Effect on chronotropic activity of the heart: or

- (d) Effect on platelet function.
- 6. (Amended) A method according to Claim 1, in which the modulator is an activator, as herein defined.
- 8. (Amended) A method according to Claim 1, in which the modulator is an inhibitor, as herein defined.
- 9. (Amended) A method according to Claim 3, in which the modulator acts preferentially on non-neuronal cells.
- 10. (Amended) A method according to Claim 1, in which the modulator promotes the dephosphorylation of Ser-1177 and inhibits eNOS activity.
- 12. (Amended) A method according to Claim 1, in which the modulator promotes phosphorylation of nNOS or nNOSμ at Ser-1417.
- 13. (Amended) A method according to Claim 1, in which the modulator promotes dephosphorylation of nNOS or nNOS μ at Ser-1417.

Please add new claims 14-24, as follows:

14. (New) An antibody directed against eNOS, in which the eNOS is phosphorylated at Ser-1177 or at Thr-495.

- 15. (New) An antibody according to Claim 14, in which the eNOS is phosphorylated at Ser-1177.
- 16. (New) An antibody according to Claim 14, in which the eNOS is phosphorylated at Thr-495.
- 17. (New) An antibody according to Claim 14, in which the antibody is raised against a synthetic phosphopeptide comprising the sequence RIRTQSpFSLQER.
- 18. (New) An antibody according to Claim 14, in which the antibody is raised against a synthetic phosphopeptide comprising the sequence GITRKKTpFKEVANCV.
- 19. (New) An antibody according to Claim 14, which is a polyclonal antibody.
- 20. (New) An antibody according to Claim 14, which is a monoclonal antibody.
- 21. (New) An antibody according to Claim 14, labelled with a detectable marker.
- 22. (New) A method of detecting phosphorylation of eNOS, comprising the step of reacting a biological sample containing eNOS with an antibody according to claim 14.
- 23. (New) A method according to Claim 23, in which Ser-1177 is detected.

24. (New) A method according to Claim 23, in which phosphorylation at Thr-495 is detected.

REMARKS

I. Nationalization

This application represents the U.S. national stage of International Patent Application PCT/AU99/00968, filed November 05, 1999, which claims priority to Australian Patent Application PP 6976, filed November 06, 1998.

As the text of the International Application was transmitted by the International Bureau, an additional copy is not required to satisfy 35 U.S.C. § 371(c)(2). Nonetheless, for the Examiner's convenience, a copy of international application PCT/AU99/00968 is enclosed in the form of the published PCT Application WO 00/28076.

As there are no "substitute pages" within the written text, and after consultation with Australian counsel, Applicants' representative confirms that the text of the enclosed published PCT application corresponds to the text of the international application as filed. As the substitute pages of drawings were entered at the appropriate time during PCT examination, they will have been transmitted to the U.S. Office by the International Bureau.

Should formal amendments be necessary to conform to U.S. practice, Applicants seek to introduce such amendments into the present specification by, e.g., deleting the PCT cover page, providing the Abstract as a separate page, and deleting the PCT header.

Priority is also properly claimed by an amendment at page 1.

II. National Stage Claims

After according a U.S. filing date, and <u>before</u> calculating the filing fee, entry of the foregoing claim amendments is respectfully requested. The changes to the pending claims are being made solely to conform to U.S. practice. New claims are being entered, beginning with claim 14. The new claims are fully supported by the PCT and priority application. None of the revised or new claims constitute new matter. The submission of revised claims does not represent abandonment of any of the subject matter of the claims in the international application.

III. Status of the Claims

At the conclusion of the PCT examination phase, claims 1-13 were pending (see IPER as well as PCT publication, both enclosed). The IPER finds each of claims 1-13 to have unity of invention, and is completely favorable regarding the novelty, inventive step and industrial applicability of all claims.

Presently, claims 5, 6, 8-10, 12 and 13 have been amended to render them singly dependent. No claims have been canceled. Claims 14-24 have been added, which are fully supported by the original specification.

Claims 1-24 are therefore in the case. For the convenience of the Examiner, a copy of the pending claims showing the revisions is included herewith as **Exhibit A**. A clean copy of the pending claims is included herewith as **Exhibit B**.

IV. Support for the Claims

Aside from removing the multiple dependencies in claims 5, 6, 8-10, 12 and 13, no changes to the pending claims have been introduced. Claims 1-13 thus represent those at the conclusion of PCT examination essentially in unamended form.

New claims 14-24 are supported throughout the specification. For example, exemplary support for claims 14-21 is particularly prominent at page 16, with exemplary support for claims 22-24 being prominent at page 17 and in the supporting figures. See also, page 4, lines 25-27, concerning the use of antibodies to phosphorylated at Ser-1177, confirming that this site is phosphorylated during ischemia.

Claims 17 and 18 are supported by the sequences in the original specification, although CRIRTQSpFSLQER reflects the addition of C at the N-terminus and GITRKKTpFKEVANC reflects deletion of V at the C-terminus. Those of ordinary skill in the art would understand the CRIRTQSpFSLQER sequence to properly reflect the addition of a cysteine at the N-terminus as cysteine is known in the art to be used for coupling peptides to keyhole limpet haemocyanin, described in the specification as being used in antibody generation. In terms of GITRKKTpFKEVANC, the deletion of valine from the C-terminus reflects the peptide actually used in immunization. Those of ordinary skill in the art would, in light of the present disclosure, understand the valine in question to be V504 of the primary eNOS sequence, and would therefore understand that the presence or absence of this valine would not be material to the generation of antibodies with specificity for the phosphorylated peptide as opposed to the dephosphorylated peptide. This is because the valine at position 504 is sufficiently removed from the threonine residue that becomes phosphorylated, *i.e.*, the threonine at position 495.

It will therefore be understood that no new matter is encompassed by any of the amended or newly presented claims.

V. Compliance with 37 C.F.R. § 1.121

Copies of the pending claims are attached hereto as **Exhibit A** and **Exhibit B**. In accordance with 37 C.F.R. § 1.121, the claims have been labeled as "(Amended") or "(New)", where appropriate. **Exhibit A** provides a clean copy of the pending claims, whereas **Exhibit B** shows the changes with brackets and underlining.

The proper claim for priority has been timely introduced into the specification by amendment of the opening paragraph at page 1. A 199 word Abstract is also introduced into the specification by amendment as a separate page.

The amendments to the opening paragraph at page 1 of the specification and the abstract have been made as "Replacement Sections" in accordance with 37 C.F.R. §§ 1.121(b)(2), 1.77(b)(2) and 1.77(b)(10). This is proper under 37 C.F.R. §§ 1.121(b)(2)(i)(ii)(iii), as the specification contains section headings as provided in 37 C.F.R. § 1.77, and the amendments include the reference, replacement section in clean form and another version of the replacement section separate from the amendment marked up to show all changes (Exhibit C).

VI. Fees and Formalities

The national filing fee and claim fees are included herewith. The fees have been calculated **after** the present changes to remove the multiple dependencies in the claims. Any omitted fees should be deducted from Williams, Morgan & Amerson Deposit Account No. 50-0786/4050.000900.

Applicants are entitled to small entity status. An executed declaration to this effect is no longer required.

VII. Conclusion

The IPER issued for the international application finds all claims to have unity of invention.

Applicants therefore urge that they define a unified invention for the purposes of examination in

the U.S.

Importantly, the IPER also holds that all claims meet the requirements for industrial

applicability, novelty and inventive step. This is compelling evidence that the present claims have

utility and define a novel and non-obvious invention that should be progressed to allowance in the

United States.

In light of the positive IPER, Applicants submit that the present case is in condition for

allowance and such favorable action is respectfully requested. Should the Examiner have any

questions or comments, a telephone call to the undersigned Applicants' representative is earnestly

solicited.

Respectfully submitted,

Shelle P.M. Fussey

Reg. No. 39,458

Agent for Applicants

WILLIAMS, MORGAN & AMERSON, P.C.

7676 Hillmont, Suite 250

Houston, Texas, 77040

(713) 934-4079

Date: April 19, 2001

EXHIBIT A PENDING CLAIMS

- 1. A method of identifying modulators of AMPK-mediated activation of a nitric oxide synthase enzyme selected from the group consisting of eNOS, nNOS and nNOSµ, comprising the step of testing putative modulators for their ability to increase or decrease phosphorylation of the enzyme, said increase or decrease depending on the calmodulin and calcium ion concentrations.
- 2. A method according to claim 1, in which the specific phosphorylation of Ser-1177 is assessed in the presence of calcium and calmodulin.
- 3. A method of identifying modulators of AMPK-mediated inhibition of eNOS, comprising the step of testing a putative modulator for its ability to decrease or increase AMPK-mediated phosphorylation of eNOS in the presence of limiting calcium ions.
- 4. A method according to claim 3, in which the specific phosphorylation of Thr-495 is assessed.
- 5. (Amended) A method according to Claim 1, in which one or more of the following activities is additionally assessed:
 - (a) Effect on smooth muscle contraction:
 - (b) Effect on inotropic activity of the heart;
 - (b) Effect on chronotropic activity of the heart; or
 - (d) Effect on platelet function.
- 6. (Amended) A method according to Claim 1, in which the modulator is an activator, as herein defined.
- 7. A method according to Claim 6, in which the activator promotes both glucose metabolism and fatty acid metabolism.
- 8. (Amended) A method according to Claim 1, in which the modulator is an inhibitor, as herein defined.

- 9. (Amended) A method according to Claim 3, in which the modulator acts preferentially on non-neuronal cells.
- 10. (Amended) A method according to Claim 1, in which the modulator promotes the dephosphorylation of Ser-1177 and inhibits eNOS activity.
- 11. A method according to Claim 3, in which the modulator promotes the dephosphorylation of Thr-495 and stimulates eNOS activity.
- 12. (Amended) A method according to Claim 1, in which the modulator promotes phosphorylation of nNOS or nNOS μ at Ser-1417.
- 13. (Amended) A method according to Claim 1, in which the modulator promotes dephosphorylation of nNOS or nNOS μ at Ser-1417.
- 14. (New) An antibody directed against eNOS, in which the eNOS is phosphorylated at Ser-1177 or at Thr-495.
- 15. (New) An antibody according to Claim 14, in which the eNOS is phosphorylated at Ser-1177.
- 16. (New) An antibody according to Claim 14, in which the eNOS is phosphorylated at Thr-495.
- 17. (New) An antibody according to Claim 14, in which the antibody is raised against a synthetic phosphopeptide comprising the sequence RIRTQSpFSLQER.
- 18. (New) An antibody according to Claim 14, in which the antibody is raised against a synthetic phosphopeptide comprising the sequence GITRKKTpFKEVANCV.
- 19. (New) An antibody according to Claim 14, which is a polyclonal antibody.
- 20. (New) An antibody according to Claim 14, which is a monoclonal antibody.

- 21. (New) An antibody according to Claim 14, labelled with a detectable marker.
- 22. (New) A method of detecting phosphorylation of eNOS, comprising the step of reacting a biological sample containing eNOS with an antibody according to claim 14.
- 23. (New) A method according to Claim 23, in which Ser-1177 is detected.
- 24. (New) A method according to Claim 23, in which phosphorylation at Thr-495 is detected.

EXHIBIT B PENDING CLAIMS

- 1. A method of identifying modulators of AMPK-mediated activation of a nitric oxide synthase enzyme selected from the group consisting of eNOS, nNOS and nNOS μ , comprising the step of testing putative modulators for their ability to increase or decrease phosphorylation of the enzyme, said increase or decrease depending on the calmodulin and calcium ion concentrations.
- 2. A method according to claim 1, in which the specific phosphorylation of Ser-1177 is assessed in the presence of calcium and calmodulin.
- 3. A method of identifying modulators of AMPK-mediated inhibition of eNOS, comprising the step of testing a putative modulator for its ability to decrease or increase AMPK-mediated phosphorylation of eNOS in the presence of limiting calcium ions.
- 4. A method according to claim 3, in which the specific phosphorylation of Thr-495 is assessed.
- 5. (Amended) A method according to [any one of Claims 1 to 4] <u>Claim 1</u>, in which one or more of the following activities is additionally assessed:
 - (a) Effect on smooth muscle contraction;
 - (b) Effect on inotropic activity of the heart;
 - (b) Effect on chronotropic activity of the heart; or
 - (d) Effect on platelet function.
- 6. (Amended) A method according to [any one of Claims 1 to 5] <u>Claim 1</u>, in which the modulator is an activator, as herein defined.
- 7. A method according to Claim 6, in which the activator promotes both glucose metabolism and fatty acid metabolism.
- 8. (Amended) A method according to [any one of Claims 1 to 5] Claim 1, in which the modulator is an inhibitor, as herein defined.

- 9. (Amended) A method according to [any one of Claims 3 to 8] <u>Claim 3</u>, in which the modulator acts preferentially on non-neuronal cells.
- 10. (Amended) A method according to Claim 1 [or Claim 2], in which the modulator promotes the dephosphorylation of Ser-1177 and inhibits eNOS activity.
- 11. A method according to Claim 3, in which the modulator promotes the dephosphorylation of Thr-495 and stimulates eNOS activity.
- 12. (Amended) A method according to Claim 1 [or Claim 2], in which the modulator promotes phosphorylation of nNOS or nNOS μ at Ser-1417.
- 13. (Amended) A method according to Claim 1 [or Claim 2], in which the modulator promotes dephosphorylation of nNOS or nNOS μ at Ser-1417.
- 14. (New) An antibody directed against eNOS, in which the eNOS is phosphorylated at Ser-1177 or at Thr-495.
- 15. (New) An antibody according to Claim 14, in which the eNOS is phosphorylated at Ser-1177.
- 16. (New) An antibody according to Claim 14, in which the eNOS is phosphorylated at Thr-495.
- 17. (New) An antibody according to Claim 14, in which the antibody is raised against a synthetic phosphopeptide comprising the sequence RIRTQSpFSLQER.
- 18. (New) An antibody according to Claim 14, in which the antibody is raised against a synthetic phosphopeptide comprising the sequence GITRKKTpFKEVANCV.
- 19. (New) An antibody according to Claim 14, which is a polyclonal antibody.
- 20. (New) An antibody according to Claim 14, which is a monoclonal antibody.

- 21. (New) An antibody according to Claim 14, labelled with a detectable marker.
- 22. (New) A method of detecting phosphorylation of eNOS, comprising the step of reacting a biological sample containing eNOS with an antibody according to claim 14.
- 23. (New) A method according to Claim 23, in which Ser-1177 is detected.
- 24. (New) A method according to Claim 23, in which phosphorylation at Thr-495 is detected.

EXHIBIT C

REPLACEMENT SECTIONS

At page 1, after the title, in the current single-paragraph that constitutes the entire Section of the Application pertaining to the field of the invention and cross-reference to related applications, the additions are as shown:

The present application is a nationalization of International Patent Application PCT/AU99/00968, filed November 05, 1999, which claims priority to Australian Patent Application PP 6976, filed November 06, 1998.

This invention relates to the regulation of the activity of the enzyme nitric oxide synthase, and in particular to regulation of activity of endothelial and neuronal nitric oxide synthases. We have found that the phosphorylation of endothelial and neuronal nitric oxide synthases by several protein kinases, including protein kinase C and the AMP-activated protein kinase, regulates their activity.

At page 1, after the title, in the current single-paragraph that constitutes the entire Section of the Application pertaining to the field of the invention and cross-reference to related applications, the final text is as follows:

The present application is a nationalization of International Patent Application PCT/AU99/00968, filed November 05, 1999, which claims priority to Australian Patent Application PP 6976, filed November 06, 1998.

This invention relates to the regulation of the activity of the enzyme nitric oxide synthase, and in particular to regulation of activity of endothelial and neuronal nitric oxide synthases. We have found that the phosphorylation of endothelial and neuronal nitric oxide synthases by several protein kinases, including protein kinase C and the AMP-activated protein kinase, regulates their activity.

In the Section of the Application that forms the Abstract, the deletions and additions are as shown:

This invention relates to the regulation of the activity of the enzyme nitric oxide synthase, [and in particular to] particularly the regulation of activity of endothelial nitric oxide synthase (eNOS) and neuronal nitric oxide synthase (nNOS and nNOSµ). [According to a first aspect, the] The invention provides a method of identifying modulators of AMPK-mediated activation of eNOS, comprising [the step of] testing putative modulators for their ability to increase or decrease phosphorylation of eNOS depending on the calmodulin and calcium ion concentrations. [In an alternative aspect, the] The invention also provides a method of identifying modulators of AMPK-mediated inhibition of eNOS, comprising [the step of] testing a putative modulator for its ability to decrease or increase AMPK-mediated phosphorylation of eNOS in the presence of limiting calcium ions. Preferably, specific phosphorylation of threonine 495 is assessed. [According to a second aspect, the] The invention further provides a method of identifying modulators that either promote or inhibit phosphorylation of nNOS and nNOS μ at Ser-1417. Compounds that activate the AMP-activated protein kinase are expected to be useful in the treatment of ischemic heart disease by promoting both glucose and fatty acid metabolism, as well as by increasing NOS activity to improve nutrient and oxygen supply to the myocytes and to reduce mechanical activity. These compounds [would] also have utility in the treatment of pulmonary hypertension and in obstructive airways disease.

In the Section of the Application that forms the Abstract, the final text is as follows:

This invention relates to the regulation of the activity of the enzyme nitric oxide synthase. particularly the regulation of activity of endothelial nitric oxide synthase (eNOS) and neuronal nitric oxide synthase (nNOS and nNOSµ). The invention provides a method of identifying modulators of AMPK-mediated activation of eNOS, comprising testing putative modulators for their ability to increase or decrease phosphorylation of eNOS depending on the calmodulin and calcium ion concentrations. The invention also provides a method of identifying modulators of AMPK-mediated inhibition of eNOS, comprising testing a putative modulator for its ability to decrease or increase AMPK-mediated phosphorylation of eNOS in the presence of limiting calcium ions. Preferably, specific phosphorylation of threonine 495 is assessed. The invention further provides a method of identifying modulators that either promote or inhibit phosphorylation of nNOS and nNOSµ at Ser-1417. Compounds that activate the AMP-activated protein kinase are expected to be useful in the treatment of ischemic heart disease by promoting both glucose and fatty acid metabolism, as well as by increasing NOS activity to improve nutrient and oxygen supply to the myocytes and to reduce mechanical activity. compounds also have utility in the treatment of pulmonary hypertension and in obstructive airways disease.

PCT/AU99/00968

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REGULATION OF NITRIC OXIDE SYNTHASE ACTIVITY

This invention relates to the regulation	of	the
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activity of the enzyme nitric oxide synthase, and in 3

- particular to regulation of activity of endothelial and
- neuronal nitric oxide synthases. We have found that the 5
- phosphorylation of endothelial and neuronal nitric oxide 6
- synthases by several protein kinases, including protein 7
- kinase C and the AMP-activated protein kinase, regulates
- 9 their activity .

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11 BACKGROUND OF THE INVENTION

Nitric oxide (NO) has recently been recognised as 12

13 an important mediator of a very wide variety of cellular

14 functions, and is present in most if not all mammalian

cells (Moncada, S. and Higgs, A., 1993). It is implicated 15

16 in a range of disorders, hypertension,

hypocholesterolaemia, diabetes, heart failure, aging, 17

- inflammation, and the effects of cigarette smoking, and is 18
- 19 especially important in vascular biology. It regulates
- systemic blood pressure as well as vascular remodelling 20
- (Rudic et al., 1998) and angiogenesis in response to tissue 21
- 22 ischaemia (Murohara et al., 1998). NO is synthesised from
- the amino acid L-arginine by the enzyme nitric oxide 23
- synthase (NOS). 24
- Three isoforms of NOS have been identified: 25
- neuronal NOS (nNOS), which is found in neuronal tissues and 26
- 27 skeletal muscle (nNOSµ isoform); inducible NOS (iNOS),
- 28 found in a very wide variety of mammalian tissues including
- activated macrophages, cardiac myocytes, glial cells and 29
- 30 vascular smooth muscle cells; and endothelial NOS (eNOS),
- 31 found in vascular endothelium, cardiac myocytes and blood
- 32 platelets. Endothelial cells produce NO in response to

1 shear stress generated by the streaming of blood on the

2 endothelial layer.

3 The three isoforms of NO synthase have an amino

4 acid sequence identity of approximately 55%, with strong

5 sequence conservation in regions involved in catalysis.

6 For all three isoforms, the mechanism of NO synthesis

7 involves binding of the ubiquitous calcium regulatory

8 protein calmodulin (CaM) to the enzyme. However, the

9 conditions under which CaM is bound appear to be different

10 for iNOS, at least insofar as calcium concentration is

11 concerned. These three NOS enzymes have been intensively

12 studied, and the field has been recently reviewed; see for

13 example Michel and Feron (1997); Harrison (1997); and Mayer

14 and Hellens (1997). Although it was known from earlier

15 studies that eNOS could be multiply phosphorylated, the

16 mechanism of these phosphorylation events, including the

17 enzyme responsible for phosphorylation, and the role of

18 phosphorylation in modulation of eNOS function was not

19 known.

20 AMP-activated protein kinase (AMPK) is a

21 metabolic stress-sensing protein kinase which is known to

22 play an important role in the regulation of acetyl-CoA

23 carboxylase, leading to the acceleration of fatty acid

24 oxidation during vigorous exercise or ischaemia. AMPK is

25 well known as a regulator of lipid metabolism, and in

26 particular is known to have a role in cholesterol

27 synthesis, as reviewed in Hardie and Carling (1997). The

28 AMPK is also considered to play an important role in

29 exercise-enhanced glucose transport (Hayashi et al., (1998)

30 which is distinct from the insulin-mediated glucose uptake

31 mechanism. AMPK has mainly been studied in the liver,

32 heart and skeletal muscle. AMPK has been purified, and the

33 genes encoding the enzyme subunits were cloned (See

34 International Patent Applications

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- 1 numbersPCT/GB94/01093and PCT/US97/00270 and publication
- 2 WO97/25341).
- The mammalian AMPK (Mitchelhill et al, 1994) is
- 4 related to the Saccharomyces cereviseae SNF1 protein
- 5 kinase. It is required for the expression of glucose-
- 6 repressed genes in response to nutritional stress which
- 7 requires growth on alternative carbon sources (Celenza and
- 8 Carlson, 1986); both the mammalian and yeast kinases are
- 9 activated by upstream kinases (Hardie and Carling, 1997).
- 10 The AMPK is involved in metabolic stress responses through
- 11 phosphorylation at Ser-79 and concomitant inhibition of
- 12 acetyl-CoA carboxylase and HMG-CoA reductase (Hardie and
- 13 Carling, 1997). Multiple AMPK isoforms occur. They
- 14 comprise $\alpha\beta\gamma$ heterotrimers consisting of either $\alpha1$ or $\alpha2$
- 15 catalytic sub-units (Stapleton et al, 1996; Stapleton et
- 16 al, 1997a), together with the non-catalytic subunits β and
- 17 γ (Mitchelhill et al, 1994; Carling et al, 1994; Stapleton
- 18 et al, 1994), which are related to the yeast sip1p and
- 19 snf4p respectively.
- 20 The AMPK α 2 sub-unit gene is on chromosome 1
- 21 (Beri et al., 1994), the α 1 sub-unit gene is on
- 22 chromosome 5, the $\beta1$ and $\gamma1$ sub-unit genes are on
- 23 chromosome 12, the $\beta 2$ sub-unit gene is on chromosome 1, and
- 24 the γ 2 sub-unit gene is localised on chromosome 7
- 25 (Stapleton et al, 1997). A \gamma 3 gene has been detected using
- 26 an expressed sequence tag (EST) generated by genome
- 27 sequencing (Accession No AA178898).
- One of the genes encoding eNOS is on
- 29 chromosome 7, close to the gene for the $\gamma 2$ sub-unit of
- 30 AMPK. Another gene encoding nNOS is found on
- 31 chromosome 12. (The human gene map; SEE
- 32 http://www.ncbi.nlm.nih.gov/cgi-

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2 Recent work has shown that the AMPK in cardiac

- 3 and skeletal muscle is activated by vigorous exercise or by
- 4 ischaemic stress (Winder and Hardie, 1996; Vavvas et al,
- 5 1997; Kudo et al, 1995). This led us to investigate the
- 6 localization of the AMPK isoforms in these tissues. The
- 7 AMPK- α 2 isoform is present in capillary endothelial cells
- 8 in cardiac and skeletal muscle, and the AMPK- α 1 isoform
- 9 occurs in cardiac myocytes and vessels. The presence of
- 10 AMPK in endothelial cells led us to test bacterially-
- 11 expressed eNOS as a substrate, and we found that it is
- 12 readily phosphorylated by either AMPK- α 1 or AMPK- α 2.

We have now surprisingly found that the

14 AMP-activated protein kinase phosphorylates and regulates

15 endothelial NO synthase. We find that the AMPK

16 phosphorylates eNOS at two sites. In the presence of

17 calcium and calmodulin, Ser-1177 in the human sequence, and

18 Ser-1179 for the bovine sequence is phosphorylated in the

COOH-terminal tail of the enzyme, causing activation of

eNOS by shifting the calmodulin-dose dependence. In the

absence of added calcium and calmodulin, phosphorylation

22 also occurs at Thr-495 in the eNOS calmodulin-binding

23 sequence, and inhibits the enzyme. Ischaemia of the heart

24 causes activation of the AMPK and of eNOS, mimicking the

25 effects of phosphorylation at Ser-1177. Phosphopeptide-

26 specific antibodies to phosphorylated Ser-1177 were used to

27 confirm that this site was phosphorylated during ischaemia.

- 28 Our results are of special interest because they identify a
- 29 link between metabolic stress, which reduces ATP and
- 30 increases AMP, and signalling through eNOS to control
- 31 nutrient availability (via arterial vasodilation) as well
- 32 as suppressing myocardial contraction. This couples the
- 33 metabolic status of endothelial cells and myocytes with the

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1 vascular supply and mechanical demands. Our results

- 2 provide a new insight into the post-translational
- 3 regulation of eNOS which is of particular significance for
- 4 the cardiovascular and skeletal muscle field. In addition,
- 5 similarities in structure and behaviour between eNOS and
- 6 nNOS have been identified, enabling us to identify
- 7 modulators of the activity of both these enzymes.

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SUMMARY OF THE INVENTION

10 According to a first aspect, the invention

- 11 provides a method of identifying modulators of AMPK-
- 12 mediated activation of a nitric oxide synthase enzyme
- 13 selected from the group consisting of eNOS, nNOS and nNOSµ,
- 14 comprising the step of testing the ability of putative
- 15 modulators to increase or decrease phosphorylation of the
- 16 enzyme; said increase or decrease depending on the
- 17 calmodulin and calcium ion concentrations.
- 18 Preferably the specific phosphorylation of
- 19 Ser-1177 is assessed in the presence of calcium and
- 20 calmodulin.
- In an alternative aspect, the invention provides
- 22 a method of identifying modulators of AMPK-mediated
- 23 inhibition of eNOS, comprising the step of testing a
- 24 putative modulator for its ability to decrease or increase
- 25 AMPK-mediated phosphorylation of eNOS in the presence of
- 26 limiting calcium ions. Preferably specific phosphorylation
- of Thr-495 is assessed.
- 28 Compounds able to increase phosphorylation of
- 29 Ser-1177 or decrease phosphorylation of Thr-495 are
- 30 referred to herein as activators, and compounds able to
- 31 decrease phosphorylation of Ser-1177 or increase
- 32 phosphorylation of Thr-495 are referred to as inhibitors.

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1	In both aspects of the invention, one or more of
2	the following activities may optionally be additionally
3	assessed for each putative activator or inhibitor
4	identified by the method of the invention:
5	(a) Effect on smooth muscle contraction;
6	(b) Effect on inotropic activity of the
7	heart;
8	(c) Effect on chronotropic activity of the
9	heart; and
10	(d) Effect on platelet function.
11	It is expected that because the phosphorylation
12	site equivalent to Thr-495 in the eNOS calmodulin-binding
13	site is absent from the neuronal form of NOS, inhibitors
14	and activators identified by the method of the invention
15	will have at least some degree of tissue specificity.
16	Compounds that activate the AMP-activated protein
17	kinase are expected to be useful in ischaemic heart disease
18	by promoting both glucose and fatty acid metabolism, as
19	well as by increasing NOS activity to improve nutrient and
20	oxygen supply to the myocytes and to reduce mechanical
21	activity. These compounds would also have utility in

23 For the purposes of this specification it will be

pulmonary hypertension and in obstructive airways disease.

24 clearly understood that the word "comprising" means

25 "including but not limited to", and that the word

26 "comprises" has a corresponding meaning.

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BRIEF DESCRIPTION OF THE FIGURES

29 Figure 1 shows immunofluorescence localization of

30 AMPK- α 2 in the heart and in the tibialis anterior muscle.

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- Panel A shows a negative control section of rat
- 2 heart stained with control rabbit IgG and control mouse
- 3 IgG, together with anti-rabbit-FITC and anti-mouse-Texas
- 4 Red.
- 5 Panel B shows a section of rat heart stained with
- 6 affinity-purified rabbit polyclonal antibody against
- 7 AMPK- α 2 (491-514) and anti-rabbit-FITC.
- 8 Panel C shows the same section as Panel B,
- 9 stained with a monoclonal antibody against rat endothelium
- 10 recA-1 and anti-mouse-Texas Red.
- 11 Panel D shows the overlay of Panels B and C.
- 12 Colocalization can be seen by the coincidence of staining.
- 13 The arrows highlight specific endothelial cells that are
- 14 stained by both antibodies.
- Panel E shows a negative control section of rat
- 16 tibialis anterior muscle stained with control rabbit IgG
- 17 and control mouse-IgG, together with anti-rabbit-FITC and
- 18 anti-mouse-Texas Red.
- 19 Panel F shows a section stained with affinity-
- 20 purified rabbit polyclonal antibody against AMPK- α 2
- 21 (491-514) and anti-rabbit-FITC.
- 22 Panel G shows the same section as in Panel B,
- 23 stained with a monoclonal antibody against rat endothelium
- 24 recA-1 and anti-mouse-Texas Red.
- 25 Panel H shows the overlay of Panels E and F.
- 26 Colocalization can be seen by the coincidence of staining.
- 27 Figure 2 illustrates phosphorylation of
- 28 recombinant eNOS by AMPK.
- 29 Top panel: eNOS was incubated with rat liver
- 30 AMPK- α 1 and $[\gamma$ -³²P] ATP.
- 31 Lane 1: Coomassie-stained SDS-PAGE;

- 8 -

- 1 Lane 2: Autoradiograph.
- 2 Lower panel: 32P-tryptic phosphopeptide map of
- 3 eNOS.
- 4 Figure 3 shows the effect of phosphorylation of
- 5 eNOS by the AMPK with or without added Ca2+-CaM. Rat
- 6 heart eNOS purified by 2',5'-ADP-Sepharose affinity
- 7 chromatography was phosphorylated by AMPK in the presence
- 8 of 0.8 μ M CaM/3.2 μ M Ca²⁺ (closed circles), in the absence
- 9 of Ca2+-CaM (closed triangles) and without AMPK (open
- 10 squares). After phosphorylation, samples were diluted and
- 11 eNOS activity was measured. The lower panels show
- 12 phosphopeptide maps for rat heart eNOS phosphorylated in
- 13 the presence and absence of added Ca²⁺-CaM.
- 14 Figure 4 shows the effect of ischaemia on the
- 15 activities of AMPK- α 1, AMPK- α 2 and eNOS.
- 16 Panel A shows the results of immunoprecipitation
- 17 using antibody specific for AMPK- α 1 and AMPK- α 2, assayed
- 18 using the SAMS peptide substrate. Results shown are mean ±
- 19 SEM for n=5.
- 20 Panel B shows eNOS activity measured at 500 nM
- 21 CaM.
- 22 Panel C shows eNOS activities with full CaM-dose
- 23 responses for a representative experiment. Ischaemia time
- 24 points: 0 min (open squares), 1 min (closed diamonds),
- 25 10 min (closed circles) and 20 min (open triangles). The
- 26 results of 4 replicates were the same, except that in one
- 27 case the 20 min ischaemia eNOS CaM-dependence remained the
- 28 same as for 10 min.
- 29 Figure 5 shows a comparison of NOS sequences.
- 30 Phosphorylation site sequences for eNOS and nNOS are
- 31 indicated in a schematic model of NOS. Sequences from the
- 32 CaM-binding region (around the Thr-495 phosphorylation site

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in eNOS) and for the COOH-terminal tail (around the

- 2 Ser-1177 phosphorylation site in eNOS) are shown.
- Figure 6 shows the effect of treatment of bovine
- 4 aortic endothelial cells with phorbol ester (PMA) and
- 5 okadaic acid on eNOS activity (upper pane) and the
- 6 phosphorylation at Ser-1177 and Thr-495 (lower panel).
- 7 Figure 7 shows the effect of treatment of bovine
- 8 aortic endothelial cells with 3-isobutyl-1-methylxanthine
- 9 (IBMX) and calyculin A on the phosphorylation at Ser-1177
- 10 and Thr-495.
- Figure 8 shows a summary illustration of the
- 12 regulation of eNOS by phosphorylation at Thr-495 and Ser-
- 13 1177, mediated by protein kinases PKC, AMPK and Akt.
- 14 Reversal of the phosphorylation at these sites is mediated
- 15 by protein phosphatases PP1 and PP2A in response to
- 16 treating the cells with IBMX and PMA respectively.
- Figure 9 shows the effect of a 30 second bicycle
- 18 sprint exercise on nNOS phosphorylation in human muscle.
- 19 The nNOS was extracted from biopsy material and probed for
- 20 phosphorylation at Ser-1417 using an anti-phosphopeptide
- 21 antibody. The left panel shows an immunoblot, and the
- 22 right panel shows quantitative analysis of 5 individuals.

23

24 DETAILED DESCRIPTION OF THE INVENTION

- 25 The invention will now be described in detail by
- 26 way of reference only to the following non-limiting
- 27 examples and to the figures.
- We have surprisingly found that in the presence
- 29 of Ca²⁺-calmodulin (CaM) eNOS is phosphorylated by AMPK at
- 30 Ser-1177, resulting in activation, whereas phosphorylation
- of eNOS in the absence of Ca2+ occurs predominantly at
- 32 Thr-495, a site in the CaM-binding sequence, resulting in

- 10 -

I inhibition. It had previously been considered that

- 2 phosphorylation was solely inhibitory. We have also found
- 3 that ischaemia of the heart leads to rapid activation of
- 4 both isoforms of the metabolic stress-sensing enzyme AMPK
- 5 and eNOS. These data suggest that the AMPK may operate an
- 6 "inside-out" signalling pathway that leads to arterial
- 7 vasodilation and reduced myocardial contraction, so
- 8 coupling the metabolic status of endothelial cells and
- 9 myocytes with the vascular supply and mechanical activity.

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11 Example 1 Immunofluorescence Localisation of AMPK- α 2

in Heart and Skeletal Muscle

13 Confocal immunofluorescence microscopy using

- 14 affinity-purified rabbit polyclonal antibody directed
- 15 against AMPK- α 2 (antibody 491-414. Staining with
- 16 fluorescence-labelled anti-rabbit antibody showed that the
- α 2 isoform is found predominantly in capillary endothelial
- 18 cells in both cardiac muscle and skeletal muscle, while
- 19 cardiac myocytes and blood vessels showed intense but
- 20 diffuse staining for the $\alpha 1$ AMPK isoform. In skeletal
- 21 muscle, the α 2 isoform was found in endothelial cells of
- 22 capillaries, and in fast-twitch muscle fibres, whereas the
- 23 α 1 isoform was found in Type I aerobic fibres.
- 24 Localisation of AMPK-α2 in capillary endothelial cells in
- 25 both cardiac and skeletal muscle is illustrated in
- 26 Figure 1.

27

28 Example 2 AMPK Phosphorylates Recombinant eNOS

- 29 Bacterially expressed eNOS, coexpressed with CaM
- 30 by the method of Rodriguez-Crespo et al (1996), was
- 31 phosphorylated by either AMPK- α 1, as shown in Figure 2 top

- 11 -

panel, or AMPK- α 2. Recombinant eNOS phosphorylation by

- 2 immunoprecipitated AMPK- α 2 was detected. Since we have
- 3 been unable to purify high specific activity AMPK- α 2, no
- 4 further characterisation of eNOS regulation or the sites of
- 5 phosphorylation by the $\alpha2$ isoform was undertaken. Analysis
- 6 of the phosphorylation sites in eNOS following tryptic
- 7 digestion revealed four phosphopeptides generated from
- 8 three separate sites (Figure 2 bottom panel, A, A', B, C).
- 9 Identification of phosphorylation sites by mass
- 10 spectrometry and Edman sequencing, using the modified
- 11 method described by (Mitchelhill and Kemp, 1999), revealed
- 12 that Ser-1177 was the most prominent phosphorylation site,
- 13 as shown in Figure 2 bottom panel, A, A', and that its
- 14 phosphorylation was dependent on the presence of Ca²⁺-CaM.
- 15 Phosphopeptide isolation from in-gel tryptic
- 16 digests was carried out as described by Mitchelhill et al
- 17 (1997a). Greater than 98% of the radioactivity was
- 18 recovered from the gel. Peptides isolated and characterized
- 19 by mass spectrometry and Edman sequencing are set out in
- 20 Table 1.

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Table 1
Phosphopeptides Isolated from In-Gel tryptic Digests

Observed Mass	Phosphopeptide	Sequence	Calculated Mass
1440.0	В	KKTFKEVANAVK	1361 1 (*1 1 / 1 / 1 / 1 / 1 / 1
1174.1	K	TOXFST.OF	/ · · · · · · · · · · · · · · · · · · ·
1116	- f	י איזי בייא י	1034.5(*1174.5)
0.0447	Α.	IRTQXFSLQER	1363.7 (*1443.7)
1176.7	O	pcLGSLVFPR	1095.6(*1175.6)

where: "pc" denotes pyridylethyl cysteine.

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denotes calculated mass of mono-phosphorylated peptide.

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The location of the phosphorylation site in

- 2 peptide A, TQXFSLQER, was identified by 32P-phosphate
- 3 release sequencing (Mitchelhill et al, 1997a). eNOS
- 4 phosphorylated by the AMPK- α 1 was no longer recognized by
- 5 the antibody to the eNOS COOH-terminal tail; nor was it
- 6 eluted from the ADP-Sepharose affinity column by
- 7 100 mM NADPH. These properties prevented the direct
- 8 confirmation of Ser-1177 phosphorylation in situ. This is
- 9 illustrated in Venema et al, 1996.
- 10 A second site, Thr-495, was phosphorylated in the
- absence of Ca²⁺-CaM or when EGTA was present. This is
- 12 illustrated in Figure 2 bottom panel, B. This residue is
- 13 located in the CaM-binding sequence,
- 14 TRKKT⁴⁹⁵FKEVANAVKISASLM,
- 15 between the oxidase and reductase domains of eNOS (Venema
- 16 et al, 1996). Ser-101 in the N-terminal region of eNOS was
- 17 identified as a minor site of phosphorylation (Figure 2
- 18 bottom panel, C).
- 19 Synthetic peptides containing Thr-495 or Ser-1177
- 20 were readily phosphorylated by AMPK, with similar kinetic
- 21 values to the SAMS peptide substrate. The peptide
- 22 containing Thr-495, GTGITRKKTFKEVANAVK, was phosphorylated
- 23 with a Km of 39 \pm 10 μ M and a Vmax of
- 24 6.7 \pm 0.6 μ mol/min/mg, whereas the peptide containing
- 25 Ser-1177, RIRTQSFSLQERQLRG was phosphorylated with a Km of
- 26 54 \pm 6 μ M and a Vmax of 5.8 \pm 0.3 μ mol/min/mg. These are
- 27 comparable to results obtained using the well-characterized
- 28 SAMS peptide substrate, which has a Km 33 \pm 3 μ M and a Vmax
- 29 of $8.1 \pm 1.5 \, \mu \text{mol/min/mg}$ (Michell et al, 1996). The in
- 30 vitro phosphorylation of the peptides confirms the
- 31 identification sites of phosphorylation.

- 14 -

Example 3 Effect of Ca²⁺-CaM on Phosphorylation of eNOS by AMPK

The eNOS activity was determined by measuring

- 4 L-[3H]-citrulline production, using the method of Balligand
- 5 et al, 1995. The recombinant eNOS was coexpressed with
- 6 CaM, as described by Rodriguez-Crespo and Ortiz de
- 7 Montellano, 1996. Partially purified rat heart eNOS
- 8 contained some Ca²⁺-CaM. In the absence of added EGTA, CaM
- 9 dependence was observed at 0-100 nM added CaM. In order to
- 10 investigate the changes in NOS activity with
- 11 phosphorylation in the absence and presence of Ca²⁺-CaM,
- 12 EGTA buffering was used to achieve CaM dose response curves
- 13 in the range 0-1 μ M. Routinely, 7-15 μ M EGTA was added to
- 14 make eNOS activity dependent upon added CaM. Where
- 15 Ca2+-CaM was used in the phosphorylation reaction prior to
- 16 eNOS assay, the samples were either diluted so that the
- 17 extra Ca²⁺-CaM was negligible, or the indicated
- 18 concentrations represent total final concentrations of
- 19 added Ca²⁺-CaM.
- 20 Cardiac eNOS was partially purified as follows.
- 21 Twenty rat hearts were homogenised in 80 ml of ice-cold
- 22 buffer A [50 mM Tris-HCl, pH 7.5, 1 mM EDTA, 1 mM EGTA,
- 23 1 mM DTT, 50 mM NaF, 5 mM Na Pyrophosphate,
- 24 10 μ g/ml Trypsin inhibitor, 2 μ g/ml Aprotinin,
- 25 1 mM Benzamidine, 1 mM PMSF, 10% Glycerol, 1% Triton-X-
- 26 100]. The homogenate was put on ice for 30 min and
- 27 centrifuged at 16,000 x g for 30 min. The supernatant was
- 28 incubated with 2 ml of 2',5'-ADP-Sepharose (Bredt and
- 29 Snyder, 1990). The suspension was incubated for one hour
- 30 before washing in a fritted column, with 20 ml of buffer A
- 31 and 20 ml of buffer A containing 0.5 M NaCl, and then with
- 32 20 ml of buffer B [50 mM Tris-HCl, pH 7.5, 1 mM DTT,
- 33 10% Glycerol, 0.1% Triton-X-100]. eNOS was eluted with
- 34 buffer B containing 2 mM NADPH, then subjected to

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I centrifugal filtration (ULTRAFREE-MC MILLIPORE) to remove

- 2 NADPH. Immunoblotting was used for selective detection of
- 3 eNOS rather than nNOS.
- 4 Phosphorylation of eNOS by AMPK in the presence
- 5 of Ca²⁺-CaM resulted in activation, but CaM-dependence was
- 6 retained, as shown in Figure 3 top panel. Activation
- 7 shifted the dose response curve for CaM to the left.
- 8 Phosphopeptide mapping revealed that activation of eNOS was
- 9 correlated with phosphorylation of Ser-1177 but not of Thr-
- 10 495, as shown in Figure 3 lower panel. Phosphorylation
- without added Ca²⁺-CaM enhanced Thr-495 phosphorylation,
- 12 suppressed Ser-1177 phosphorylation, and inhibited eNOS
- 13 activity (Figure 3 top panel). The inhibition of eNOS
- 14 activity by Thr-495 phosphorylation is consistent with
- 15 earlier reports that phosphorylation of synthetic peptides
- 16 corresponding to this region by protein kinase C inhibits
- 17 CaM-binding (Matsubara et al, 1996). Similar results have
- 18 been reported for nNOS (Loche et al, 1997).

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Example 4 Effect of Ischaemia on Activities of

21 $\underline{AMPK-\alpha1, AMPK-\alpha2 \text{ and eNOS}}$

- 22 Langendorf preparations of isolated perfused rat
- 23 heart were subjected to ischaemia according to the method
- 24 of Kudo et al (1995). AMPK- α 1 and AMPK- α 2 isoforms were
- 25 immunoprecipitated using $\alpha 2$ (490-516) or $\alpha 1$ (231-251)
- 26 antibodies, and assayed using the SAMS peptide substrate
- 27 (Michell et al, 1996; Hardie and Carling, 1997). eNOS
- 28 activity was measured as described in Example 3. The
- 29 results are shown in Figure 4. Both α 1 and α 2 isoforms are
- 30 activated, as shown in Figure 4A, indicating that AMPK is
- 31 activated in both capillary endothelial cells, which have
- 32 predominantly the $\alpha 2$ isoform, and in cardiac myocytes,

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1 which have predominantly the $\alpha 1$ isoform. AMPK activation

- 2 during ischaemia is also accompanied by eNOS activation and
- 3 changes in the CaM dependence, as shown in Figures 4B and
- 4 4C, mimicking the effect of eNOS phosphorylation by AMPK in
- 5 vitro, as shown in Figure 3.
- 6 Polyclonal antibodies were raised against
- 7 synthetic phosphopeptides based on the eNOS sequence:
- 8 RIRTQSpFSLQER and GITRKKTpFKEVANCV. Rabbits were immunized
- 9 with phosphopeptides coupled to keyhole limpet haemocyanin
- 10 and then emulsified in Freund's complete adjuvant, using
- 11 conventional methods. The antibodies were purified using
- 12 the corresponding phosphopeptide affinity columns after
- 13 thorough preclearing with dephosphopeptide affinity
- 14 columns. The specificity of the purified antibodies was
- 15 confirmed using both EIA and immunoblotting, confirming
 - that they did not recognize recombinant dephospho-eNOS.
- 17 Using the anti-phosphopeptide antibodies to Ser-
 - 1177 and Thr-495 phosphorylation sites we observed that
- 19 phosphorylation of Ser-1177 was increased approximately 3-
- 20 fold by ischaemia, but that there was no detectable change
- 21 in the Thr-495 phosphorylation under these conditions.
- 22 Heart muscle contains eNOS in both capillary endothelial
- 23 cells and cardiac myocytes (Balligand et al, 1995), with
- 24 low levels of the nNOS μ isoform (Silvagno et al, 1996).
- The sequences of the three types of NOS are
- 26 compared in Figure 5, which shows the CaM-binding region
- 27 and the C-terminal tail. In nNOS Ser-1417 corresponds to
- 28 eNOS Ser-1177, whereas iNOS is truncated, and has a Glu in
- 29 this region. Both iNOS and nNOS lack a phosphorylatable
- 30 residue equivalent to Thr-495 in the CaM-binding region.

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3 Example 5 Effect of Stimulation of Protein Kinase C on 4 eNOS Phosphorylation

5 Bovine aortic endothelial cells cultured in 0.1%

- 6 foetal calf serum for 20 hours (serum starved) were
- 7 subjected to treatment with the protein kinase C activator
- 8 0.1 μM phorbol-12-myristate-13-acetate (PMA) for 5 min.
- 9 PMA treatment increased the phosphorylation of eNOS at Thr-
- 10 495 and decreased the phosphorylation at Ser-1177, as
- measured using anti-phosphopeptide specific antibodies.
- 12 The antibodies used were the same as those described in
- 13 Example 4. The results are shown in Figure 6. In cells
- 14 cultured in medium without calcium we observed a 4-fold
- 15 decrease in Ser-1177 phosphorylation. Furthermore, when
- 16 cells were incubated in standard medium containing calcium
- 17 addition of the calcium ionophore A23187 (10µM for 90
- 18 seconds) increased Ser-1177 phosphorylation by a further 7-
- 19 fold. Preincubation of the cells with 0.5 μ M okadaic acid
- 20 prevented the dephosphorylation of Ser-1177 by PMA
- 21 treatment, and greatly augmented the phosphorylation of
- 22 Thr-495 (Results mean ± SEM, n =6). Since okadaic acid
- 23 inhibits protein phosphatase PP2A, the results indicate
- 24 that PP2A is responsible for dephosphorylation of Ser-1177.
- 25 The changes observed in Thr-495 and Ser-1177
- 26 phosphorylation in response to treatment with PMA and
- 27 okadaic acid were reflected in the activity of eNOS.
- 28 Increased phosphorylation of Thr-495 with PMA or PMA plus
- 29 okadaic acid was associated with reduced eNOS activity.
- 30 Okadaic acid alone increased Ser-1177 phosphorylation
- 31 without altering Thr-495 phosphorylation, and was
- 32 associated with increased eNOS activity (Figure 6 upper
- 33 panel).

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3 Example 6 Effect of Inhibition of Phosphodiesterase

4 and Phosphatase on the Phosphorylation of eNOS

5 The experimental details were similar to those

6 for Example 5. Bovine aortic endothelial cells were

7 preincubated with or without 10 nM of the phosphatase

- 8 inhibitor calyculin A for 10 min, and then incubated with
- 9 or without 0.5 mM of the phosphodiesterase inhibitor, 3-
- 10 isobutyl-1-methylxanthine (IBMX) for 5 min. As shown in
- 11 Figure 7, IBMX treatment caused enhanced phosphorylation of
- 12 Ser-1177 and dephosphorylation of Thr-495. Preincubation
- 13 with calyculin A prevented the dephosphorylation of Thr-
- 14 495. (Results mean ± SEM, n =6). Since calyculin A
- inhibits protein phosphatase PP1, the results indicate that
- 16 PP1 is responsible for dephosphorylation of Thr-495.

17

18

DISCUSSION

19 Since the identification of the Ser-1177

20 phosphorylation site by the present inventors, it has been

- 21 recognized that other protein kinases phosphorylate at this
- 22 site. In particular, the protein kinase Akt (also named
- 23 PKB) phosphorylates Ser-1177 in response to stimulation of
- 24 endothelial cells by vascular endothelial growth factor
- 25 (VEGF) (Fulton et al.1999; Michell et al.,1999) or to fluid
- 26 shear stress (Dimmeler et al., 1999; Gallis et al., 1999).
- 27 In the study by Gallis et al. (1999) it was reported that
- 28 fluid shear stress stimulated the phosphorylation of Ser-
- 29 116 in the sequence KLQTRPSPGPPPA. Neither the kinase
- 30 responsible nor the functional effects of phosphorylation
- 31 of this site on eNOS has yet been identified. This
- 32 phosphorylation site is present in the oxidase domain.

- 19 -

We have found that phosphorylation of eNOS at

- 2 Thr-495 by protein kinase C occurs in endothelial cells
- 3 that have been serum starved and incubated in calcium-free
- 4 medium in the presence of the phorbol ester PMA. There is
- 5 a reciprocal relationship between phosphorylation at Ser-
- 6 1177 and Thr-495 in endothelial cells. Protein kinase C
- 7 phosphorylates both sites in vitro, but stimulation of
- 8 protein kinase C in endothelial cells with phorbol ester
- 9 causes enhanced Thr-495phosphorylation but marked
- 10 phosphorylation of Ser-1177. The dephosphorylation of Ser-
- 11 1177 is prevented by okadaic acid but not by calyculin A,
- 12 indicating that phosphatase PP2A is responsible. Okadaic
- 13 acid also greatly enhances the phosphorylation of Thr-495
- 14 in response to phorbol ester. Thrombin, which also acts
- 15 via protein kinase C, stimulates phosphorylation of Thr-495
- 16 and dephosphorylation of Ser-1177.
- In contrast, treatment of endothelial cells with
- 18 the phosphodiesterase inhibitor 3-isobutyl-1-methylxanthine
- 19 (IBMX) causes a pronounced dephosphorylation of eNOS at
- 20 Thr-495 and enhanced Ser-1177 phosphorylation.
- 21 Dephosphorylation of Thr-495 in response to IBMX is blocked
- 22 by treatment with calyculin A, suggesting that phosphatase
- 23 PP1 is responsible for Thr-495 dephosphorylation.
- These relationships are summarised in Figure 8.
- 25 We find that exercise of skeletal muscle results in the
- 26 phosphorylation of $nNOS\mu$ at Ser-1417, the site
- 27 corresponding to Ser-1177 in eNOS (see Figure 5).
- 28 Electrical stimulation of rat extensor digitorum longus
- 29 (EDL) muscle was found to activate the AMPK, to
- 30 phosphorylate acetyl CoA carboxylase at Ser-79 (the
- inhibitory site), and to phosphorylate nNOS μ at Ser 1417.
- 32 Similarly, in biopsies of human skeletal muscle following
- 33 vigorous exercise, such as a 30-second bicycle sprint,
- 34 there is a 10-fold increase in phosphorylation on Ser-79 in

- 20 -

I acetyl CoA carboxylase and a 7.5-fold increase in nNOSµ

- 2 phosphorylation at Ser-1417 (see Figure 9).
- 3 Endothelially-derived NO has a critical role in
- 4 preventing premature platelet adhesion and aggregation that
- 5 leads to thrombus formation (Radomski and Moncada, 1993).
- 6 There is evidence that the protective effects of elevated
- 7 high-density lipoprotein (HDL) on the cardiovascular system
- 8 may be mediated via increased platelet NO production.
- 9 Apolipoprotein E, a component of HDL, acts on a receptor
- 10 (apoER2) present in platelets to stimulate the NO signal
- 11 transduction pathway (Riddell et al., 1997; Riddell and
- 12 Owen, 1999).
- 13 Activation of eNOS by phosphorylation of its
- 14 COOH-terminal tail gives new insight into eNOS
- 15 autoinhibition. The increased activity and shift in the
- 16 CaM-dose dependence with phosphorylation at Ser-1177
- 17 suggest that in eNOS, and perhaps nNOS, the COOH-terminal
- 18 tails act as partial autoregulatory sequences analogous to
- 19 those in the CaM-dependent protein kinases (Kemp and
- 20 Pearson, 1991; Kobe et al, 1996).
- 21 The COOH-terminal tail of eNOS is only fully
- 22 accessible to the AMPK when Ca2+-CaM is bound, consistent
- 23 with this region being buried in the absence of CaM. As
- 24 can be seen from Figure 5, there is a high level of
- 25 similarity between eNOS and nNOS in their COOH-terminal
- 26 tails, whereas iNOS is distinct. It is known that the iNOS
- 27 CaM-binding, which is characterised by a low
- 28 Ca²⁺-dependence, requires both the canonical CaM-binding
- 29 sequence and distal residues in the COOH-terminus that
- 30 cannot be satisfied by nNOS chimeras (Ruan et al, 1996).
- 31 Without wishing to be bound by any proposed mechanism, we
- 32 believe that eNOS and nNOS are autoinhibited by their
- 33 COOH-terminal tails, requiring a two-stage activation

- 21 -

- 1 process for full activity with both CaM-binding and
- 2 phosphorylation in the tail, whereas iNOS requires only CaM
- 3 binding. Recently Salerno et al (1997) proposed that an
- 4 insert sequence in the FMN-binding domain may also be
- 5 important in autoregulation.
- 6 Previous studies have shown that eNOS may be
- 7 phosphorylated both in vitro and in vivo, but the precise
- 8 sites of phosphorylation and the function of the
- 9 phosphorylation events have not hitherto been fully
- 10 characterized (reviewed in Michel and Feron, 1997). eNOS
- is the first example of an enzyme activated by AMPK to be
- 12 identified, and is also unusual because phosphorylation can
- 13 lead to either activation or inhibition, depending on the
- 14 availability of Ca²⁺-CaM. Other enzymes, notably the
- 15 cyclin-dependent protein kinases, are activated or
- 16 inhibited by phosphorylation, but this is catalysed by
- 17 different protein kinases. Protein kinase C phosphorylates
- 18 Thr-495 in eNOS, demonstrating intersecting regulatory
- 19 pathways acting on eNOS by phosphorylation of Thr-495 or
- 20 Ser-1177. It is also possible that persistent activation
- 21 of protein kinase C, for example in response to
- 22 hyperglycaemia induced by diabetes, could chronically
- 23 suppress phosphorylation of eNOS at Ser-1177, and thereby
- 24 reduce its activity.
- 25 The regulation of eNOS by AMPK extends the
- 26 conceptual relationship between the yeast snf1p kinase and
- 27 the AMPK. Snf1p kinase modulates the supply of glucose from
- 28 the environment by secreting invertase whereas the
- 29 mammalian AMPK integrates metabolic stress signalling with
- 30 the control of the circulatory system. Thus intracellular
- 31 metabolic stress signals within endothelial cells and
- 32 myocytes can elicit improved nutrient supply and suppress
- 33 mechanical activity of the muscle.

- 22 -

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- 2 art that while the invention has been described in some
- 3 detail for the purposes of clarity and understanding,
- 4 various modifications and alterations to the embodiments
- 5 and methods described herein may be made without departing
- 6 from the scope of the inventive concept disclosed in this
- 7 specification.
- References cited herein are listed on the
- 9 following pages, and are incorporated herein by this
- 10 reference.

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CLAIMS:

- 1. A method of identifying modulators of AMPK-mediated activation of a nitric oxide synthase enzyme selected from the group consisting of eNOS, nNOS and nNOS μ , comprising the step of testing putative modulators for their ability to increase or decrease phosphorylation of the enzyme, said increase or decrease depending on the calmodulin and calcium ion concentrations.
- 2. A method according to claim 1, in which the specific phosphorylation of Ser-1177 is assessed in the presence of calcium and calmodulin.
 - 3. A method of identifying modulators of AMPK-mediated inhibition of eNOS, comprising the step of testing a putative modulator for its ability to decrease or increase AMPK-mediated phosphorylation of eNOS in the presence of limiting calcium ions.
 - 4. A method according to claim 3, in which the specific phosphorylation of Thr-495 is assessed.
- 5. A method according to any one of Claims 1 to 4, in which one or more of the following activities is additionally assessed:
 - (a) Effect on smooth muscle contraction;
 - (b) Effect on inotropic activity of the heart;
 - (c) Effect on chronotropic activity of the heart; or
 - (d) Effect on platelet function.
 - 6. A method according to any one of Claims 1 to 5, in which the modulator is an activator, as herein defined.
 - 7. A method according to Claim 6, in which the activator promotes both glucose metabolism and fatty acid metabolism.

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- 8. A method according to any one of Claims 1 to 5, in which the modulator is an inhibitor, as herein defined.
- 9. A method according to any one of Claims 3 to 8, in which the modulator acts preferentially on non-neuronal cells.
- 10. A method according to Claim 1 or Claim 2, in which the modulator promotes the dephosphorylation of Ser-1177 and inhibits eNOS activity.
- 11. A method according to Claim 3, in which the modulator promotes the dephosphorylation of Thr-495 and stimulates eNOS activity.
 - 12. A method according to Claim 1 or Claim 2 in which the modulator promotes phosphorylation of nNOS or nNOS μ at Ser-1417.
- 13. A method according to Claim 1 or Claim 2 in which the modulator promotes dephosphorylation of nNOS or nNOS μ at Ser-1417.

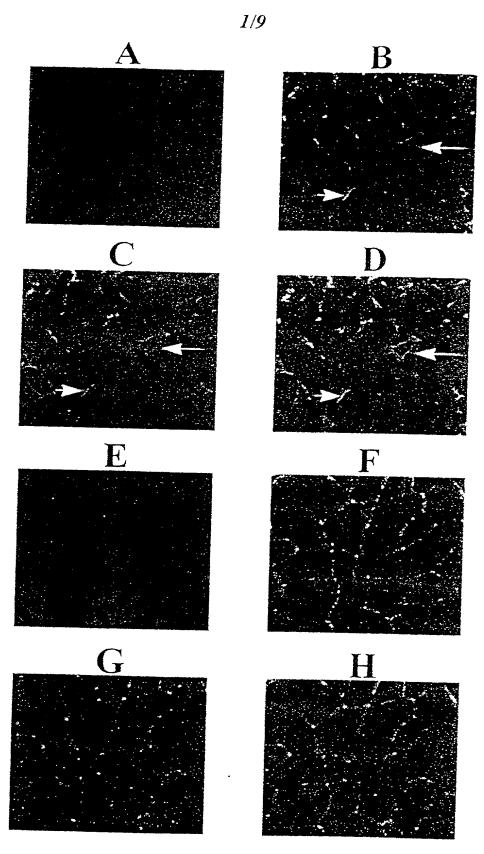
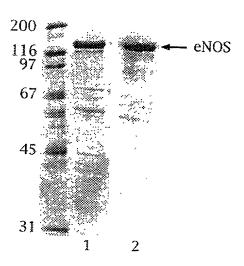


Figure 1

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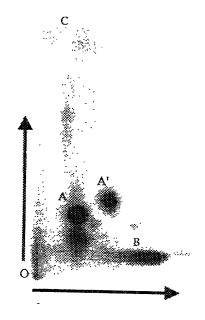


Figure 2

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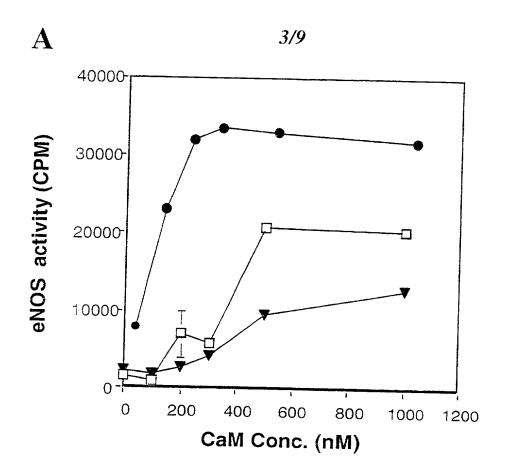
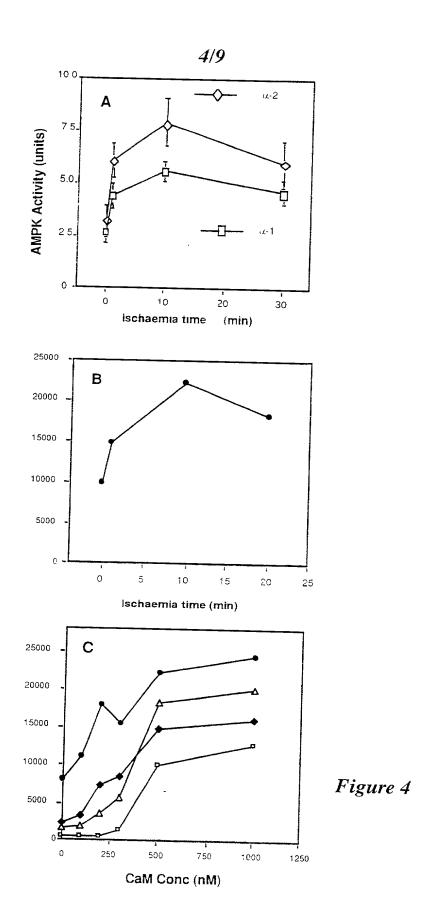


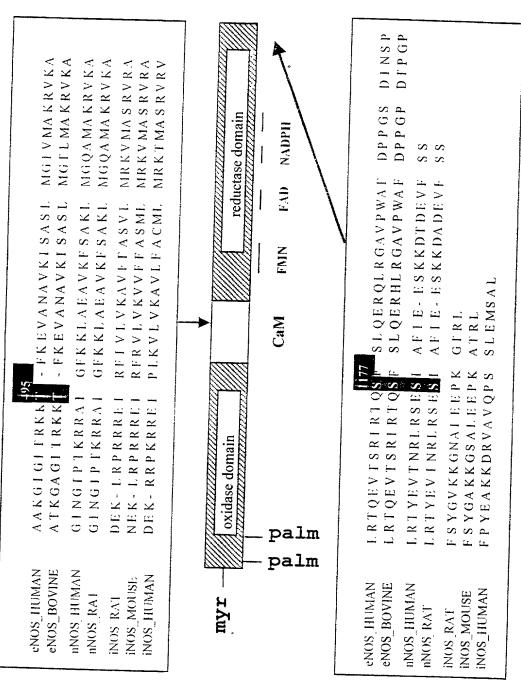
Figure 3

eNOS Activity (CPM)

eNOS Activity (CPM)



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Figure 5

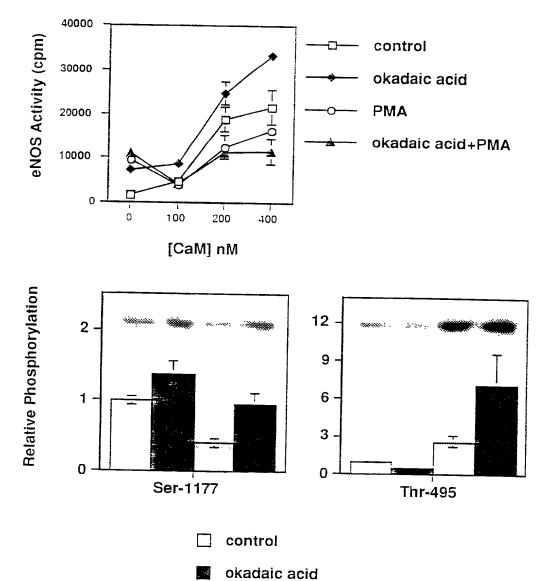


Figure 6

PMA

okadaic acid + PMA

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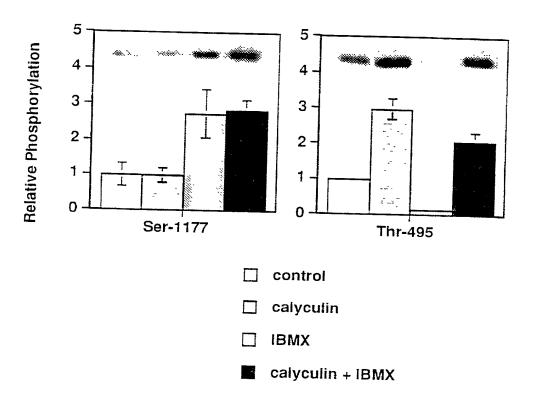


Figure 7



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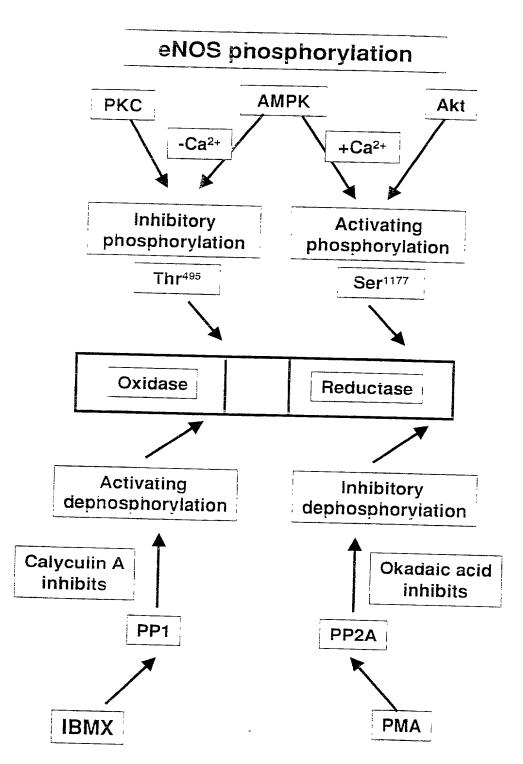
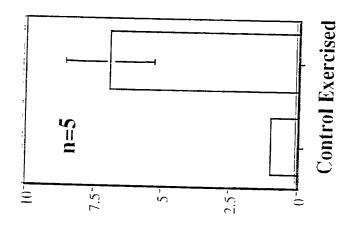


Figure 8







Relative fold

Figure 9

DECLARATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or the below named inventors are the original, first and joint inventors (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled **Regulation of Nitric Oxide Synthase Activity**, the Specification of which:

\boxtimes	is attached hereto.	
	was filed on	as Application Serial No

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims.

I acknowledge the duty to disclose to the Patent and Trademark Office all information known to me to be material to patentability of the subject matter claimed in this application, as "materiality" is defined in Title 37, Code of Federal Regulations, § 1.56.

I hereby claim priority benefits under Title 35, United States Code, § 119 and/or § 365 of any foreign application(s) for patent or inventor's certificate, PCT international application(s), and United States provisional application(s), listed below and have also identified below any foreign application for patent or inventor's certificate, PCT international application, or United States provisional application, having a filing date before that of the application on which priority is claimed:

	Priority Claimed		
PCT/AU99/00968	PCT	November 5, 1999	Yes
(Number)	(Country)	(Date Filed)	Yes/No
PP 6976	Australia	November 6, 1998	Yes
(Number)	(Country)	(Date Filed)	Yes/No

I hereby claim the benefit under Title 35, United States Code, § 120 and/or § 365 of any United States application(s) and PCT international application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose all information known to me to be material to patentability of the subject matter claimed in this application, as "materiality" is defined in Title 37, Code of Federal Regulations, § 1.56, which becomes available between the filing date of the prior application and the national or PCT international filing date of this application:

N/A			
(Application Serial No.)	(Filing Date)	(Status)	
(Application Serial No.)	(Filing Date)	(Status)	

I hereby direct that all correspondence and telephone calls be addressed to Shelley P.M. Fussey, Williams, Morgan & Amerson, P.C., 7676 Hillmont, Suite 250, Houston, Texas 77040, (713) 934-7000.

I hereby declare that all statements made of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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